



PATENT
Attorney Docket No. MLB-055CP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): F. Joseph Pompei CONFIRMATION NO.: 3989
SERIAL NO.: 09/300,022 GROUP NO.: 2644
FILING DATE: April 27, 1999 EXAMINER: Pendleton, Brian T.
TITLE: Parametric Audio System

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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JUL 22 2004

Technology Center 2600

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications labeled A3-A10 and B3-B4 are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☒ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

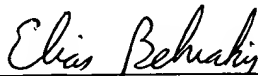
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

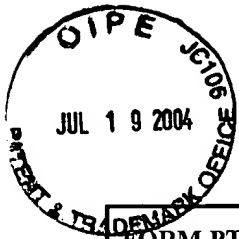
Respectfully submitted,



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| FORM PTO - 1449 | | | | ATTORNEY DOCKET NO.: MLB-055CP1 | | | | | |
| THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT | | | | APPLICANT(S): F. Joseph Pompei | | | | | |
| | | | | SERIAL NO.: 09/300,022 | | | | | |
| | | | | FILING DATE: April 27, 1999 GROUP: 2644 | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | |
| EXAM. INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE | | |
| | A3 | 3,816,671 | 06/11/74 | Frain et al. | 179 | 111 E | 04/06/72 | | |
| | A4 | 3,908,098 | 09/23/75 | Kawakami et al. | 179 | 111 R | 07/30/73 | | |
| | A5 | 4,588,917 | 05/13/86 | Ratcliff | 310 | 316 | 12/17/84 | | |
| | A6 | 5,161,128 | 11/03/92 | Kenney | 367 | 181 | 11/30/90 | | |
| | A7 | 5,598,480 | 01/28/97 | Kim | 381 | 99 | 04/27/95 | | |
| | A8 | 5,745,438 | 04/28/98 | Hill et al. | 367 | 181 | 01/31/97 | | |
| | A9 | 6,016,351 | 01/18/00 | Raida et al. | 381 | 77 | 07/16/97 | | |
| | A10 | 6,044,160 | 03/28/00 | Norris | 381 | 191 | 01/13/98 | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | | |
| EXAM. INIT. | | DOCUMENT NUMBER | DATE | COUNTRY CODE | CLASS | SUB CLASS | FILING DATE | ABSTRACT ONLY | ENGLISH LANG (Y/N) |
| | B3 | 1 234 767 | 06/09/71 | GB | | | 09/18/67 | No | Yes |
| | B4 | 59171300 | 09/27/84 | JP | | | 03/17/83 | Yes | Yes |
| | | | | | | | | | |
| OTHER ART, JOURNAL ARTICLES, ETC. | | | | | | | | JUL 22 2004 | |
| EXAM. INIT. | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) | | | | | | | | |
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| EXAMINER | | | | | DATE CONSIDERED | | | | |

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